

**SEWARD &
KISSEL LLP**

**INVESTMENT
MANAGEMENT**

2015 FACTS

1

Maintained #1
U.S. hedge fund law
firm market share
and advised
approximately 25 of
Alpha 100 firms

Launched first U.S.
law firm compliance
subscription service

25

Completed 25-plus
SEC and/or CFTC
registrations and
compliance programs

Structured over 25
ERISA hard-wired
plan asset funds



30

Successfully handled
approximately
30 litigation and
employment actions

40

Advised more than
40 new fund and
manager launches

Negotiated over 40
seed, accelerator,
platform and
investment manager
M&A transactions

50

Successfully
represented over 50
clients in SEC, DOJ,
FINRA and other white
collar matters

Closed more than 50
private equity or debt
transactions

80

Closed over 80
CLO-related
transactions

125

Celebrated 125th
anniversary of the
Firm's establishment



-7.02	-3.72	114	13.19
+9.03	+3.96	98	18.76
+14.28	+2.54	252	54.32
-11.32	-2.13	86	98.65
+9.45	+1.96	15	8.43
+8.35	+3.32	24	3.76
+6.29	+1.03	39	65.12
-12.84	-3.45	54	17.6



**SEWARD &
KISSEL LLP**

125

YEARS

**SEWARD &
KISSEL LLP**

**INVESTMENT
MANAGEMENT**

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