

SEWARD & KISSEL LLP

Investment Management Practice

2018 Highlights

Tier 1 Law Firm, Private Funds / Hedge Funds U.S. News Rankings

Top U.S. Law Firm – Number of Hedge Funds & Funds of Hedge Funds Serviced Preqin Special Report: Hedge Fund Law Firms

Best Global Law Firm Hedgeweek 2018

Closed over 200 CLO/CDO deals

28 attorneys ranked as SuperLawyers and 7 attorneys ranked as SuperLawyers Rising Stars

Advised more than 50 new hedge fund launches and 30 new closed-end fund launches across numerous types of strategies and structures

Handled over 20 litigation and employment actions for clients Represented over 45 of the Top 200 hedge fund managers (based on gross fund assets managed)

Structured over 35 ERISA hard-wired plan asset funds

Counseled over 100 registered funds

Acted as monitor for multi-manager investment adviser pursuant to SEC order Closed more than 75 PE, VC, real estate, debt and other private transactions

Advised on over 40 registrations, compliance programs and/or exams with various regulators

Provided counsel on over 40 manager or fund restructurings

Reviewed over 250 counterparty documents

Negotiated over 45 seed, accelerator, platform and investment manager M&A transactions

Represented over 50 clients in various SEC, DOJ, FINRA and other white collar & regulatory matters

Effective January 2019, Daniel Bresler was promoted to Counsel in Investment Management

Publications and Events

- Released New Hedge Fund Study (Eighth Edition)
- Released Hedge Fund Side Letter Study (Third Edition)
- Released New Management Company Study (Second Edition)
- Expanded offering of SKRC compliance services
- Launched 50-state Security Breach Response Survey
- Sent out over 75 IM-related client alerts
- Hosted Third Annual Private Funds Forum
 Investment Management partners spoke at over 30 events



PARTNERS & COUNSEL SERVING INVESTMENT MANAGEMENT CLIENTS

Bank Regulatory

Paul T. Clark

Bankruptcy & Distressed Investments

Arlene R. Alves John R. Ashmead Y. Daphne Coelho-Adam Robert J. Gayda

Broker-Dealer Regulation

Anthony C.J. Nuland Marlon O. Paz

Business Transactions

James E. Abbott Gerhard Anderson Meir R. Grossman Nick Katsanos Craig A. Sklar

Corporate Finance & Derivatives Gregg Bateman

Jeffrey M. Berman Sharan Calay Greg B. Cioffi Kalyan "Kal" Das Kimberly A. Giampietro Lauri K. Goodwyn James H. Hancock Craig T. Hickernell David R. Johnson Hovoon Nam Michele "Miki" Navazio Andrew Robertson Lawrence Rutkowski Andrew Silverstein Michael S. Timpone Robert A. Walder

Corporate Securities, Capital Markets & Activism

Keith Billotti Edward S. Horton Robert E. Lustrin Andrei A. Sirabionian Anthony Tu-Sekine Gary J. Wolfe

ERISA, Tax, Trusts & Estates

Derick W. Betts, Jr.
Jon P. Brose
Ronald P. Cima
James C. Cofer
Daniel C. Murphy
Michael E. O'Brien
Peter E. Pront
S. John Ryan
Scott M. Sambur
Hume R. Steyer
David E. Stutzman
Lori A. Sullivan

Investment Management

Daniel Bresler
Robert L. Chender
John J. Cleary
Debra A. Franzese
Maureen R. Hurley
Paul M. Miller
Joseph M. Morrissey
David R. Mulle
Steven B. Nadel
Patricia A. Poglinco
Christopher C. Riccardi
David Tang
John E. Tavss
Robert B. Van Grover

Litigation, Employment & Intellectual Property

Beth H. Alter
Michael G. Considine
Rita M. Glavin
Ross Hooper
Mark J. Hyland
Mark D. Kotwick
Michael J. McNamara
Anne C. Patin
Bruce G. Paulsen
Julia C. Spivack
Michael B. Weitman

Real Estate

Jack Yoskowitz

Mark A. Brody
Adam D. Lesnick
Ian Silver
Jeffrey D. Silverstein



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