

SEWARD & KISSEL LLP  
Investment Management Practice

# 2022 HIGHLIGHTS

Represented more than **45** of  
the top **100** hedge funds  
*(based on gross AUM)*

Advised more than  
**40** new HF launches

Named “Best Law Firm”



Promoted **Kevin Cassidy** to  
Counsel

Advised more than **55** new  
closed-end fund launches  
*(private equity, venture capital,  
real estate, private credit and  
hybrid products)*

Closed more than  
**100** PE, VC, RE, debt  
and other private transactions

Negotiated more than **30** seed,  
accelerator, platform and  
investment manager M&A  
transactions

Closed more than **60**  
collateralized loan obligation  
(CLO) transactions

Closed **7** collateralized fund  
obligation (CFO) transactions  
involving the securitization of  
limited partnership interests in  
private funds

Negotiated against **50%** of  
top **30** sovereign wealth funds  
*(by # of affiliated funds)*

Named the “Best Law Firm  
– Transactions”

**PRIVATE EQUITY WIRE**  
US AWARDS 2022

Represented **40** clients in  
various SEC, DOJ, FINRA  
and other white collar &  
regulatory matters

Advised on more than **40**  
exams with various  
regulators

Counseled more than **125**  
registered funds and ETFs

Negotiated against more than  
**50%** of top **20** PE, Private  
Debt and VC investors  
*(by # of affiliated funds)*

Advised on more than **100**  
investment adviser compliance  
programs

Conducted more than **30**  
investment adviser compliance  
trainings

Made more than **1,400**  
Section 13 and 16 filings

Counseled **AB** ETFs on  
launch of first set of active  
ETFs

Named “Best Law Firm –  
Digital Assets”



Structured more than **40**  
ERISA hard-wired plan asset  
funds

**18** S&K attorneys ranked  
as SuperLawyers

## Publications and Events

- Inaugural Special Purpose Vehicle Snapshot Report
- Released 2021 Seed Transaction Study (Eighth Edition)
- Released second annual Alternative Investment Allocator Survey
- Released 2021/2022 Hedge Fund Side Letter Study (Seventh Edition)
- Released second SMA Snapshot Report
- Hosted our Eighth Annual Private Funds Forum (PFF)
- Released 2021 New Hedge Fund Study (Eleventh Edition)



# PARTNERS & COUNSEL SERVING INVESTMENT MANAGEMENT CLIENTS

## Bankruptcy & Distressed Investments

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Robert J. Gayda

## Broker-Dealer Regulation

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Robert B. Van Grover  
Michael Watling

## Business Transactions

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Nick Katsanos  
Danielle Lemberg  
Craig A. Sklar

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Andrew Silverstein  
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