

Investment Management Practice

2023 HIGHLIGHTS

Represented more than **50%** of the top **100** hedge funds
(based on gross AUM)

Advised more than **40** new Hedge Fund launches

5 IM Partners
Recognized by IFLR
IFLR1000

Advised more than **35** new closed-end fund launches
(private equity, venture capital, real estate, private credit and hybrid products)

Closed more than **100** PE, VC, RE, debt and other private transactions

Negotiated more than **30** seed, accelerator, platform and investment manager M&A transactions

Closed more than **50** collateralized loan obligation (CLO) transactions

Closed **6** collateralized fund obligation (CFO) transactions involving the securitization of limited partnership interests in private funds

Top 10 PE Fund Formation Law Firm by PEI

Private Equity International

Debra Franzese Recognized as 50 Leading Women in Hedge Funds by Hedge Fund Law Journal

Represented **40** clients in various SEC, DOJ, FINRA and other white collar & regulatory matters

Advised on more than **25** exams with various regulators

Counseled more than **100** registered funds and ETFs

Negotiated against more than **40%** of top **50** PE, Private Debt and VC investors
(by # of affiliated funds)

Advised on more than **100** investment adviser compliance programs

Conducted more than **30** investment adviser compliance trainings

Made more than **1,600** Section 13 and 16 filings

Counseled **AB ETFs** on launch of **five** new ETFs

Named “Best Law Firm – Client Service”



Structured more than **35** ERISA hard-wired plan asset funds

19 S&K attorneys ranked as SuperLawyers

Publications and Events

- Released first edition of the Established Manager Hedge Fund Study
- Released second edition of Special Purpose Vehicle Snapshot Report
- Released third edition of SMA Snapshot Report
- Released 2022 New Hedge Fund Study (Twelfth Edition)
- Released 2022 Seed Transaction Study (Eighth Edition)
- Released 2022 /2023 Hedge Fund Side Letter Study (Eighth Edition)
- Hosted our Ninth Annual Private Funds Forum (PFF)



PARTNERS & COUNSEL SERVING INVESTMENT MANAGEMENT CLIENTS

Bankruptcy & Distressed Investments

John R. Ashmead
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Broker-Dealer Regulation

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Michael Watling

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