

**SEWARD &
KISSEL LLP**

**INVESTMENT
MANAGEMENT**

2016
FACTS

#1

**Best Investment
Management Law
Firm – NY and
Recognized Leader in
Hedge Fund Services –
USA**

*Wealth & Finance Alternative
Investment Awards*

**Investment Funds Law
Firm of the Year – USA**

*Worldwide Financial Advisor
Awards*

**Best Hedge Fund Law
Firm – USA**

AI Hedge Fund Awards

**Tier 1, Private
Funds/Hedge Funds –
National & NYC**

U.S. News Rankings

**Top Law Firm –
Proportion of Onshore
Hedge Funds Serviced**

*Preqin Special Report: Hedge
Fund Service Providers*

**Released New Hedge
Fund Study**

Sixth Edition

**Released Hedge Fund
Side Letter Study**

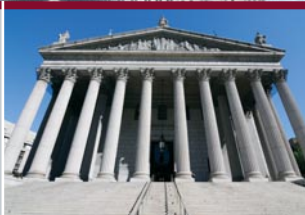
First Edition

**Launched Client
Management System**

*Proprietary In-House
Blue Sky System*

Launched SKRC

*Seward & Kissel
Regulatory Compliance*



30

**Completed over 30
SEC and/or CFTC
registrations and
compliance programs**

**Represented over 30
clients in SEC, DOJ,
FINRA and other white
collar & regulatory
matters**



35

**Advised more than
35 new fund and
manager launches**

**Negotiated over 35
seed, accelerator,
platform and
investment manager
M&A transactions**

**Handled over 35
litigation and
employment actions
for clients**



40

**Closed more than 40
private equity, venture
capital, debt and other
private investment
transactions**

**Structured over 40
ERISA hard-wired
plan asset funds**

75

**Closed over 75
CLO-related
transactions**

S&K

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